Supplier Inputs on a Central Repository for Supply Chain Risk Information

Responding Company Information

1) Please enter your company name.*

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_____

_____

*2) What types of services or products does your company provide? (select all that apply)**

[ ] Products for the bulk power system

[ ] Services for the bulk power system

[ ] Other products, services, or information that supports the electric power companies

[ ] Consulting services

[ ] Software

[ ] Hardware/firmware

[ ] Other. Please provide

Please provide additional detail/explanation below:

*3) Person completing survey:**

First Name: _____

Last Name: _____

Title: _____

Telephone Number: _____

E-mail Address: _____

Survey-Part 1: Supplier Participation and Benefits

4) If a central library was created, would you participate in it (i.e., support it) by providing information? (select all that apply)

[ ] Yes

[ ] It would depend upon industry's adoption

[ ] It would depend upon other factors

[ ] I don't know

[ ] It is not likely

Please provide additional detail/explanation below:

5) If a central library was created, would you be willing/able to financially contribute to the support of the central library or otherwise provide resources/services? (select all that apply)

[ ] Yes - my company would be willing/able to financially contribute

[ ] Yes - my company would be willing/able to support the central library with resources or services

[ ] No - my company would not be willing/able to financially contribute at this time

[ ] No - my company would not be willing/able to support the central library with resources or services at this time

[ ] I don't know

Please provide additional detail/explanation below:

6) If you would be willing/able to support the central library financially, what methods would you potentially support? (Select all that apply. If not willing to provide financial support, check only that box.)

[ ] Yes – through an annual fee

[ ] Yes – with subscription fees based on the number of customers requesting my information

[ ] Yes – through an initial investment

[ ] I don't know

[ ] I would not be willing/able to provide financial support for the central library

[ ] Perhaps through another financial structure. Please provide any other suggestion(s) below

Please provide additional detail/explanation below:

7) Are there attributes of a central library that would increase your incentive to participate? (select all that apply)

[ ] Overall Industry adoption/use of the library as a resource for information

[ ] Adoption of my customers as a source for obtaining information

[ ] Recognition and support from the US Government regulatory agencies

[ ] Recognition and support from the Canadian Provinces

[ ] Recognition and support from Mexico

[ ] Agreement that the library contained the information that is needed to conduct risk assessments

[ ] Ability for other solution providers (risk assessment companies) to obtain information from the library

[ ] The library incorporates industry best practice security controls

[ ] Ability of my customers outside of North America to utilize the library

Please provide additional detail/explanation below:

8) If a viable central library is developed with the necessary requirements (i.e., is secure, etc.), would you support the library by sending your customers to the library for your information?

( ) Yes, would require it as a first resource and would follow up with them for any information not included in the library (such as product specific information)

( ) Yes, would encourage it but would not require it

( ) Yes, would offer it as an option but would not encourage it

( ) No

Please provide additional detail/explanation below:

9) What type of information would you want to have available through the library? (select all that apply)

[ ] My company's cyber security point of contact

[ ] My company's enterprise security posture information

[ ] My company's enterprise third-party assessments or certifications (ISO's, IEC's, SOC, etc.)

[ ] My company's affiliates/subsidiaries/partners security posture information

[ ] My company's affiliates/subsidiaries/partners third-party assessments or certifications (ISO's, IEC's, SOC, etc.)

[ ] Product development site security posture information

[ ] Product development site third party assessments or certifications

[ ] Product development systems security posture information

[ ] Product development systems third-party assessments or certifications (ISO's, IEC's, SOC, etc.)

[ ] Product-specific and security controls/posture information

[ ] Product-specific third-party assessments or certifications (ISO's, IEC's, SOC, etc.)

[ ] My company's FOCI (foreign ownership, control or influence)

[ ] Product FOCI (foreign ownership, control or influence)

[ ] Other. Please provide other information

Please provide additional detail/explanation below:

10) If your customers are repeatedly requesting information from you that is not available in the library, would you be willing to provide that feedback to support improvements (e.g., so the information can be added) to the library?

( ) a. Yes, I would make the central library aware of the requested information

( ) b. Yes, I would let the NATF know to add it to the Criteria and Questionnaire

( ) c. Yes, I would make the central library and NATF aware of the requested information

( ) d. No, that is not information I would share

( ) e. No, we wouldn't have a process to do that

Please provide additional detail/explanation below:

11) How would you prefer to initially provide information?
( ) Provide it to the central repository and have the central repository staff input it

( ) Have the central library provide access so we can input the information

( ) Other. Please provide suggestion(s) below

Please provide additional detail/explanation below:

12) How would you prefer your information to be housed?

( ) Provide it to the central library and have central repository staff maintain it in their database

( ) Provide access to data that is hosted on my company's server

Please provide additional detail/explanation below:

13) How would you want evidence to be housed?

( ) Provide it to the central library and have central repository staff maintain it in their database

( ) Provide access to data that is hosted on my company's server

( ) Not provide it; my third-party assessments or certifications verify my information

Please provide additional detail/explanation below:

14) How would you prefer to update your company data in the repository? (select all that apply)

[ ] a. My company would want to be able to update the information itself as changes occur

[ ] b. My company would want to be able to provide updates to the central library as changes occur and have the administrator make any updates

[ ] c. My company would want to be able to update the information on a specific cadence, not as changes occur

[ ] d. My company would want to be able to provide updates to the central library on a specific cadence, not as changes occur, and have the administrator input necessary changes

[ ] e. The central library would request updates from my company on a periodic basis or as they become aware of specific changes and would input any updates

[ ] f. Other methods. Please provide suggestion(s) below

Please provide additional detail/explanation below:

15) If a specific cadence to updates was established/required, what cadence would be acceptable?

( ) Monthly

( ) Quarterly

( ) Every 6 months

( ) Annually

( ) Any cadence is fine if we have the ability to provide more frequently based on demand

( ) Other. Please provide suggestion(s) below

Please provide additional detail/explanation below:

16) What types of changes to your information would you consider to be a material update?

( ) Changes that substantively changed the response

( ) Changes that my company designates as "material"

( ) Any changes that were not grammatical or formatting

( ) All changes are material

Please provide additional detail/explanation below:

17) If you provided a material update to your information, would you want the central library to notify your company-approved customers that an update was made?

( ) Yes – I would want a generic "a change has been made" notification to go out

( ) Yes – I would want a specific "a change has been made to XXX" notification to go out

( ) No

Please provide additional detail/explanation below:

Survey-Part 2: Requirements

18) Which of the below security requirements do you think should be mandatory for the central library to have? (select all that apply)

[ ] The central library should be required to meet regulatory compliance requirements and be able to provide suppliers with evidence of compliance with NERC CIP standards.

[ ] Security Controls. Security controls no less rigorous than accepted industry best practices, specifically those set forth in the latest published version of National Institute of Standards and Technology (NIST) Special Publication 800-171 and ISO/IEC 27001/27002 shall be properly implemented to protect the data stored within the central library.

[ ] Evidence. The central library should provide the evidence supporting the artifacts listed above in a and b.

[ ] Notifications for Security Incidents. Upon discovery a security incident, the central library provider shall within seventy-two (72) hours inform central library participants of the facts and circumstances.

[ ] Authentication. Access to the central library shall require multifactor authentication. All accounts shall be attributable to a single named individual (i.e., no group accounts).

[ ] Segmentation and Sharing. Information deposited within the central library shall remain the property of the owning central library participant. Data shall visible only to that owning participant unless sharing with another named participant has been explicitly authorized by the owning participant. Redistribution outside of the central library platform is prohibited.

[ ] Record and Notification of Changes. Central library participants shall have the opportunity to update data on demand. The central library shall maintain a record of changes. The owning central library participant shall be able to denote a material change, which triggers notification to central library participants authorized to view the changed data.

[ ] Others. Please provide specific additions, modifications, or deletions.

Please provide additional detail/explanation below:

19) Do you require your data be stored in a specific location?
( ) Yes, in the United States

( ) Yes, in the United States or Canada

( ) Yes, in North America

( ) No

( ) Other. Please provide below

Please provide additional detail/explanation below:

20) Is it important that the central library employ US citizens?
( ) Yes, all employees must be US citizens

( ) Yes, all employees must be US citizens or a US person (i.e., have a US work authorization)

( ) Yes, but exceptions to US citizenship could be made based on security background checks

( ) No, employees could be from anywhere - the central library should determine their employment strategies and be responsible for their employee's security actions

Please provide additional detail/explanation below:

21) Would you like to participate in a requirements review for development of the central library?

( ) Yes

( ) No

Please provide additional detail/explanation below:

Survey-Part 3: Added Value

22) What other types of supply chain risk information would you like to see included in the library? (select all that you would be interested in)

[ ] Government intelligence on identified risks

[ ] E-ISAC information that E-ISAC deems appropriate to communicate

[ ] National Lab risk information

[ ] List of resources for risk mitigation

[ ] List of resources for risk analysis and/or monitoring services

[ ] List of resources for supplier security assistance

[ ] Any related pertinent or helpful information

[ ] Other. Please provide suggestion(s) below

Please provide additional detail/explanation below:

23) Would you want the central library to perform additional services?

( ) No, the central library should be a resource for information, not services

( ) No, as there are companies that currently offer those services and a central library should not become another competitor

( ) Yes, the library could develop a verification certification for the information contained in the library (NATF Criteria and Questionnaire, plus other information available)

( ) Yes, there are specific services that the library should offer. Please provide suggestion(s) below

Please provide additional detail/explanation below:

24) Would you want the ability to do an export of the information contained in the central library?

( ) Yes - for all information my company has provided to the central library

( ) Yes - for all information pertaining to my company or products that is in the library, regardless if the information was provided by my company or another source

( ) I do not believe that ability is necessary

25) Would you want to receive feedback from requestors of your information on any risks or areas for improvement for your company that they identify?

( ) Yes, with the initial contact through a private mechanism within the central library

( ) Yes, but through direct communication, not through the central library

( ) Yes, my company would like to have an opportunity to address it plus other information available)

( ) No, because risk varies by product and utility, so it would not be helpful

( ) No, as that sets up an expectation that my company would address it

Please provide additional detail/explanation below:

26) Is there other information that suppliers would like to see developed and included in the central library? (select all that you would be interested in)

[ ] A Gold Star/"Good Housekeeping Seal" for suppliers

[ ] A Gold Star/"Good Housekeeping Seal" for products

[ ] A central library verification of information

[ ] No

[ ] Other. Please provide suggestion(s) below

Please provide additional detail/explanation below:

Additional Input

27) Please provide any additional information that you would like to see provided to a potential developer of a central library.

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Review Your Responses

Thank You for participating in this Survey!